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**Contrasting shrub species respond to early summer temperatures
leading to correspondence of shrub growth patterns**

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Abstract

The arctic-alpine biome is warming rapidly, resulting in a gradual replacement of low statured species by taller woody species in many tundra ecosystems. In northwest North America, the remotely sensed normalized difference vegetation index (NDVI), suggests an increase in productivity of the arctic and alpine tundra and a decrease in productivity of boreal forests. However, the responses of contrasting shrub species growing at the same sites to climate drivers remain largely unexplored.

Here, we test growth, climate, and NDVI relationships of two contrasting species: the expanding tall deciduous shrub *Salix pulchra* and the circumarctic evergreen dwarf shrub *Cassiope tetragona* from an alpine tundra site in the Pika valley in the Kluane Region, southwest Yukon Territories, Canada.

We found that annual growth variability of both species at this site is strongly driven by early summer temperatures, despite their contrasting traits and habitats. Shrub growth chronologies for both species were correlated with the regional climate signal and showed spatial correspondence with interannual variation in NDVI in surrounding alpine and Arctic regions. Our results suggest that early summer warming represents a common driver of vegetation change for contrasting shrub species growing in different habitats in the same alpine environments.

Keywords

alpine, browning, greening, NDVI, productivity, shrubs, tundra

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1. Introduction

Arctic-alpine ecosystems are sensitive to climate change (Settele *et al* 2014) and the rate of warming increases with latitude and elevation (Pepin *et al* 2015). Consequently, the arctic-alpine biome in the northern hemisphere is expected to shift north- and upward (Settele *et al* 2014). Evidence from experimental warming (Walker *et al* 2006, Elmendorf *et al* 2012a), repeated vegetation surveys (Elmendorf *et al* 2012b), repeated photography (Sturm *et al* 2001, Tape *et al* 2006), and dendrochronology (Myers-Smith *et al* 2011, 2015a), suggests that shrub growth is sensitive to summer warming and that shrub cover has increased in response to climate warming throughout the tundra biome. Pollen records indicate greater shrub dominance in tundra during past warm episodes in Late Quaternary North America (Hu *et al* 2002, Higuera *et al* 2008) and Russia (Velichko *et al* 1997). In addition, a growing number of studies indicate shrubline ecotone advance up- and north-ward into tall-shrub free tundra (Myers-Smith and Hik 2017). However, growth responses of contrasting shrub species with different traits and habitats, occupying separate niches, are rarely compared in the same plant communities. Thus, we do not yet know whether all shrub functional groups are responding similarly to the rapidly warming tundra climate.

In general, taller deciduous shrubs are expected to replace lower statured species, and positive effects of ambient and experimental warming were predominantly observed on the abundance of taller deciduous shrubs (Elmendorf *et al* 2012a, Elmendorf *et al* 2012b). Dwarf shrub cover was found to decline in a tundra biome-wide synthesis study on experimental warming (Elmendorf *et al* 2012a), especially in warmer areas; perhaps as a result of increased competition for light. Evergreen dwarf shrub species have, however, been shown to be climate sensitive (Bär *et al* 2008, Buizer *et al* 2012, Weijers *et al* 2012, Weijers *et al* 2017) and have been observed to increase their leaf size and height in response to experimental

61 warming (Hudson *et al* 2011) and cover in response to ambient warming (Hudson and Henry
62 2009) at some High Arctic sites.

63
64 Satellite observations of vegetation productivity, as measured by the normalized difference
65 vegetation index (NDVI), have shown a greening of large parts of the tundra biome in the
66 northern hemisphere (Jia *et al* 2003, Goetz *et al* 2005, 2011, Guay *et al* 2014). Increases in
67 NDVI over northern (Forbes *et al* 2010, Macias-Fauria *et al* 2012), northeastern Siberia
68 (Blok *et al* 2011), and northwest North America (Tape *et al* 2012) have been related to
69 increases in growth of deciduous shrubs and summer temperatures. Such links have yet to be
70 explored for evergreen dwarf shrub species. Using aerial photography and satellite imagery,
71 an increase in NDVI over the Low Arctic Tuktoyaktuk Coastal Plain, Northern Territories,
72 western Canada, was shown to be related with an increase in shrub canopy cover (Fraser *et al*
73 2014). If remote sensing data are documenting tundra shrub expansion, then these data
74 indicate that multiple species are responding synchronously to the changing climate
75 conditions.

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77 Here, we explore the links between NDVI, climate, and annual shrub growth of the tall
78 deciduous shrub *Salix pulchra* and the evergreen dwarf shrub *Cassiope tetragona* co-
79 occurring at an alpine tundra site in the Pika valley in the Kluane Region, southwest Yukon
80 Territories, Canada. In this region *Salix* species have recently been shown to be expanding
81 upward through new recruitment along the shrubline through winter warming and their
82 growth has been shown to be sensitive to summer temperatures (Myers-Smith and Hik 2017).
83 Annual growth of *C. tetragona* has been shown to be driven by summer temperatures at many
84 High Arctic sites (Callaghan *et al* 1989, Havström *et al* 1995, Johnstone and Henry 1997,
85 Rayback and Henry 2006, Rozema *et al* 2009, Weijers *et al* 2010, Weijers *et al* 2012, Weijers

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et al 2017), but may be more sensitive to fluctuations in nutrient availability at warmer sites in the Subarctic (Havström *et al* 1993). However, climate sensitivity of contrasting shrub species including a tall deciduous willow and a dwarf evergreen *Cassiope* species have yet to be directly compared.

Our research questions were:

1. What are the main climate drivers of annual growth variability of the co-occurring evergreen dwarf shrub *Cassiope tetragona* and the deciduous tall shrub *Salix pulchra* in the Pika valley of the Kluane Region, Yukon Territory, Canada?
2. Does the climate signal in the growth chronologies of the two species represent the regional climate?
3. How does the interannual variation in growth correspond with interannual variation in productivity as observed through the Normalized Difference Vegetation Index (NDVI)?

2. Materials and Methods

2.1 Study site and sampling

Our research site is located on the Pika valley slopes in the Kluane Region, Yukon Territory, northwest Canada (61.22 °N, 138.28 °W; Fig. 1a).

On August 16, 2010, 16 samples of *Cassiope tetragona* were collected from the east-northeast-facing slope of the valley (Fig. 1b) at elevations of 1640-1673 m above sea level (a.s.l.). Several meters distances were kept between sampling spots to prevent repeated sampling of the same genet. *Cassiope tetragona* (L.) D. Don. (Ericaceae), or Arctic bell heather, is a multi-branched, clonal, hemi-prostrate, evergreen dwarf shrub with a circumarctic distribution (Eidesen *et al* 2007, Weijers *et al* 2017). At this site, *C. tetragona* is dominant in depressions with long-lasting snow cover and grows up to 30 cm in height.

Samples of the tall deciduous shrub *Salix pulchra* were collected between 19 and 21 August, 2007 from both valley slopes (Fig. 1b). Samples were taken at the shrubline (~1812 and 1970 m a.s.l. on the east-northeast and west-southwest-facing slope, respectively) and at more downslope positions with a *Salix* species cover of approx. 50% (~1715 m a.s.l.). In total, 17 *S. pulchra* specimens were sampled: four and three at the shrubline and three and seven downslope on the east-northeast and west-southwest-facing slopes, respectively. A 3-5 cm long disc was taken just above the stem-root boundary of the largest stem of each individual for growth ring analysis. Only distinct *Salix* patches were sampled, likely representing distinct genets. *Salix pulchra* Cham. (Salicaceae) is a canopy-forming deciduous shrub found in the Siberian and northwest North American tundra (CYSIP 2017). It is the most dominant willow species in the Kluane Region east of Kluane Lake (Myers-Smith and Hik 2017). The species reaches heights between 20 and 80 cm at our site.

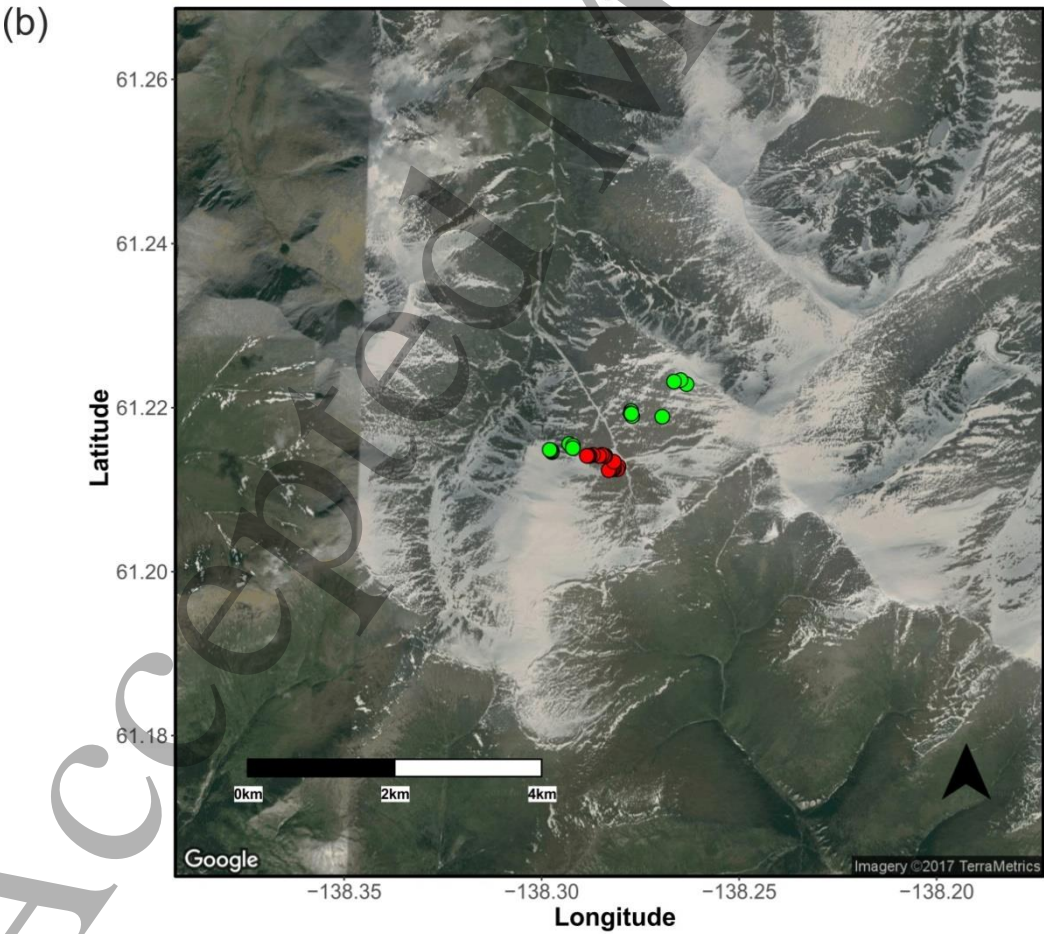
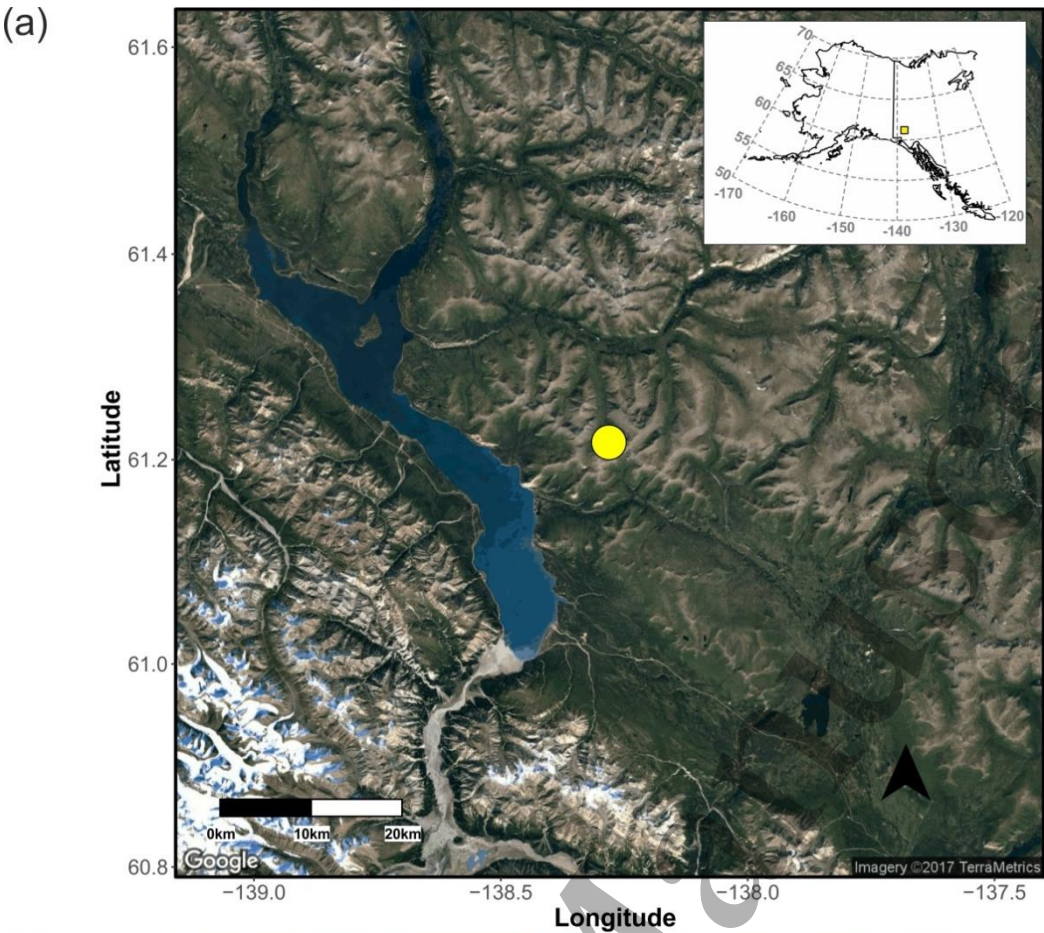


Figure 1 Location of the Kluane Region in the Yukon Territory northwest Canada indicated with a yellow square on the inset map and the location of the Pika valley (yellow circle) northwest of Kluane Lake (a). Satellite image map of the Pika valley and adjacent areas (b); sampling spots of *Cassiope tetragona* are marked with red dots; sampling locations of *Salix pulchra* are indicated with green dots.

2.2 Climate

We calculated monthly precipitation sums (mm), and mean, mean minimum, and mean maximum monthly temperatures (°C) as valid, i.e. lapse rate adjusted, for our research site for each month over the period 1901-2012 in ClimateWNA v5.40 (Wang *et al* 2017). In this program monthly normal data from climate mapping systems are downscaled to scale-free point data, which are then used as a baseline, together with monthly anomaly data from the Climate Research Unit Time Series (CRU TS3.23; Harris *et al* 2014), for the calculation of historical climate variables for specific locations and elevations (Wang *et al* 2016).

The mean annual temperature at our study site is -4.35 °C and mean annual precipitation sum is 502 mm (Fig. 2a). July is both the warmest and the wettest month with a mean temperature of 9.28 °C and mean precipitation sum of 69 mm. January is the coldest month (mean temperature -17.25 °C). We defined November-March as the winter months, April-May as spring, June-August as summer, and September-October as autumn, and calculated seasonal means and trends therein over two periods: 1950-2012 (Fig. 2b-c) and 1902-2012 (Fig. S1), as trends over these periods may have been relevant for shrub growth (cf. Fig. S2). Seasonal mean temperatures have risen significantly in winter ($r=0.29$, $p<0.05$), spring ($r=0.38$, $p<0.01$), and summer ($r=0.33$, $p<0.01$) over the period 1950-2012. Over the period 1902-

2012 mean temperatures have risen significantly in spring ($r=0.26$, $p<0.01$) and summer ($r=0.38$, $p<0.001$; Fig. S1). Trends in seasonal precipitation sums were not significant.

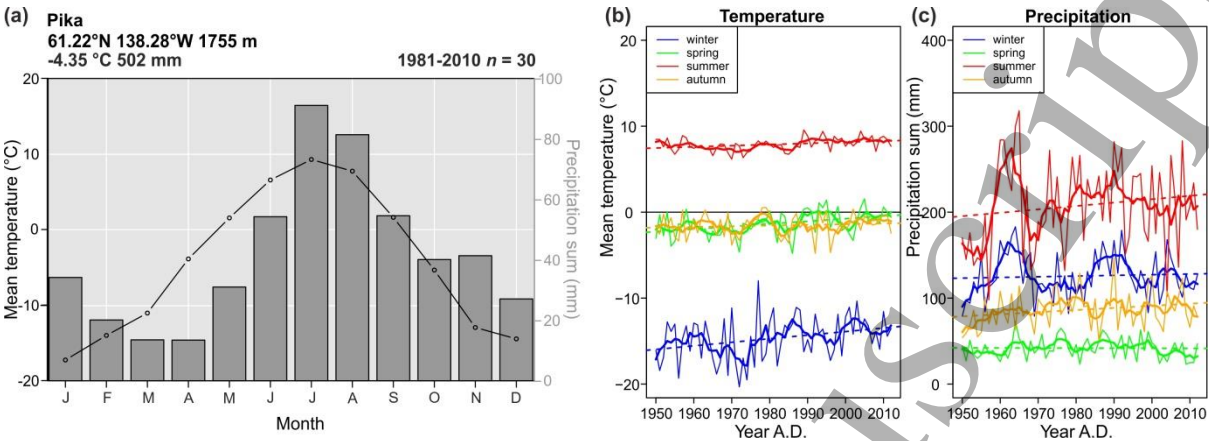


Figure 2 Mean monthly air temperatures (°C; lines) and mean monthly precipitation sums (mm; bars) as calculated for the Pika valley in the Kluane Region, Yukon Territory, Canada in Climate WNA v5.40 (Wang *et al* 2017) (a). Coordinates (decimal degrees) are given below the site name, followed by the elevation (m a.s.l.). In the left-hand corner the mean annual temperature (°C) and mean annual precipitation sum (mm) are given. The period over which the monthly means were calculated is given in the upper right corner, followed by the number of years included in the calculation of the means (n). Lapse rate adjusted seasonal mean temperatures (b) and precipitation sums (c), as valid for our research site for the period 1950-2012. Thick lines are the 5-year running means through the annual seasonal values (thin lines). Dashed lines indicate the linear trends.

2.3 Climate-growth analyses: linear mixed models

We measured annual growth of *C. tetragona* as shoot length increments and that of *S. pulchra* as ring width increments. See Supplementary Information for details on annual growth measurement. We used linear mixed model analyses to test the influence of monthly and seasonal climatic parameters on shrub growth. Climate-growth models were compared

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3 170 over the periods 1902-2009 and 1949-2009 (*C. tetragona*), or 1949-2006 (*S. pulchra*). The R-
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5 171 package nlme (Pinheiro *et al* 2017) was used for the mixed model analyses, with maximum
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7 172 likelihood estimation for model comparison and restricted maximum likelihood estimation
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9 173 for slope estimates (Crawley 2007). Before the analyses, the climate and shrub shoot length
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11 174 and ring-width chronologies were normalized at the individual level through subtraction of
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13 175 the mean, followed by a division by the standard deviation. Annual shoot lengths or ring
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15 176 widths of individual shrubs were included in the models as the response variable, and climate
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17 177 variables were included as fixed effects. A random intercept for year and an autocorrelation
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19 178 structure (AR1, autoregressive process of order one) were additionally included in the
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21 179 models. Conditional pseudo- R^2 values were calculated for each model with the
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23 180 r.squaredGLMM function of the MuMIn package (Nakagawa and Schielzeth 2013).
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31 182 We tested 94 climate-growth models including temperature means, mean maximums, mean
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33 183 minimums, and precipitation sums from 17 individual months (previous June to current
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35 184 October), the four seasons, and early (June-July) and late (July-August) summer as fixed
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37 185 effects, besides a null model for both species. In a first step, climate models that performed
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39 186 better than the null model were selected based on the Akaike Information Criterion
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41 187 ($\Delta AIC > 2$). Subsequently, Akaike weights for the selected models were calculated for model
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43 188 comparison. Akaike weights are a relative weight of evidence for each model and can be
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45 189 interpreted as the probability a certain model is the best model, given the selected set of
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47 190 models, for the observed data (Johnson and Omland 2004). High numbers of models in a
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49 191 comparison analysis increases the chances that a model has a lower AIC-value than the
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51 192 accompanying null model. By including 94 models for each species, models might thus come
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53 193 up by chance having a ΔAIC -value greater than 2. However, it is unlikely that such models
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55 194 will have an AIC value much lower than the related null model or have a high Akaike weight.
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2.4 Climate-growth analyses: site chronologies

We tested climate-growth relationships between monthly climate parameters and standardized site chronologies. See Supplementary Information for details on standardization, and chronology construction and statistics (Table S1 and Fig. S2).

We calculated Pearson’s correlation coefficients and response function coefficients between each standardized site chronology and monthly mean temperatures as well as monthly precipitation sums, with monthly parameters from previous June to current October. This was done over the period 1907-2009 for *C. tetragona* and 1971-2006 for *S. pulchra*. Parts of the chronologies with $n < 5$, were excluded from the analyses, as were the most recent growth years. The latter were excluded due to possible incomplete growth at the time of harvest. In addition, Pearson’s correlation coefficients and response coefficients were calculated over 25-year moving windows over the period 1907-2009, with a two-year window offset with the same monthly parameters, separately for mean monthly temperatures and monthly precipitation sums. This was only done for *C. tetragona* (1907-2009; $n \geq 5$), due to the relatively shortness of the *S. pulchra* chronology (1971-2006; $n \geq 5$). Correlation and response coefficient significance was determined through a 1000 bootstrapped iterations. Response function analysis takes multicollinearity between climate parameters into account, through the regression of growth chronologies against principal components of climate parameters (Zang and Biondi 2015). The correlation and response analyses were performed with the R-package treeclim (Zang and Biondi 2015) in R version 3.4.1 (R Core Team 2017).

Spatial relationships in the area between 50°-75°N and 170°-120°W over the period 1981-2006 were calculated between the standardized site chronologies, June-July NDVI (0.22° spatial resolution), and June-July mean maximum temperatures from the 0.5°×0.5° monthly

gridded meteorological dataset CRU TS4.00 (Harris *et al* 2014). Furthermore, the spatial relationships in the same area and over the same period between local mean June-July maximum temperatures (ClimateWNA v5.40), mean June-July NDVI, and mean June-July maximum temperatures (CRU TS4.00) were calculated. These calculations were carried out in KNMI Climate Explorer (Trouet and van Oldenborgh 2013), which uses a Monte Carlo approach for the determination of confidence intervals. The months June and July were chosen for the spatial analyses, because of their influence on growth of both species (see below). NDVI data for the period 1981–2006 were obtained from the GIMMS dataset (Tucker *et al* 2005) via KNMI Climate Explorer.

3. Results

3.1 Climate-growth analyses

Variation in annual growth of both species was best explained by early summer temperature models (Table 1 and S2), with evidence for the mean June-July temperature model and the mean maximum June-July temperature as best model for *C. tetragona* and the mean maximum June-July temperature model as best model for *S. pulchra*. In addition, we found some support for mean (maximum) summer temperature-growth models for *C. tetragona* and mean (maximum) July temperature-growth models for *S. pulchra* (Table 1). Besides summer climate models some current spring as well previous year late summer and early autumn temperature models were among the selected models for *C. tetragona*.

Table 1 Results of the mixed model analyses with annual shoot lengths of *Cassiope tetragona* or annual ring widths of *Salix pulchra* included in the models as the response variable and climate variables as fixed effects, calculated over the period 1949–2009 (*C. tetragona*) or 1949–2006 (*S. pulchra*). Selected models are models with AIC values of at least 2 lower than the corresponding null model. R^2 -values are conditional pseudo- R^2 values.

246 Akaike weights are the relative weight of evidence for each model. T: mean temperature;
 247 T_{\max} : mean maximum temperature; T_{\min} : mean minimum temperature; P: precipitation sum.

Species	Selected Models	ΔAIC	Slope \pm SE	R^2	Akaike weight
<i>Cassiope tetragona</i>	June-July T	29.78	0.37 \pm 0.06	0.29	0.53
	June-July T_{\max}	29.02	0.36 \pm 0.06	0.29	0.36
	Summer T	25.25	0.34 \pm 0.06	0.29	0.05
	Summer T_{\max}	25.13	0.34 \pm 0.06	0.29	0.05
	July T	17.05	0.30 \pm 0.06	0.29	<0.01
	June-July T_{\min}	15.75	0.29 \pm 0.06	0.29	<0.01
	June T	14.61	0.28 \pm 0.06	0.29	<0.01
	June T_{\max}	14.49	0.28 \pm 0.06	0.29	<0.01
	Previous August T	12.71	0.27 \pm 0.07	0.29	<0.01
	Summer T_{\min}	12.35	0.26 \pm 0.07	0.29	<0.01
	July-August T	11.93	0.26 \pm 0.07	0.29	<0.01
	July T_{\min}	11.85	0.26 \pm 0.07	0.29	<0.01
	July T_{\max}	10.27	0.24 \pm 0.07	0.29	<0.01
	Previous August T_{\min}	10.22	0.25 \pm 0.07	0.29	<0.01
	July-August T_{\max}	9.57	0.24 \pm 0.07	0.29	<0.01
	Previous August T_{\max}	8.61	0.23 \pm 0.07	0.29	<0.01
	June T_{\min}	8.46	0.23 \pm 0.07	0.29	<0.01
	July-August T_{\min}	7.03	0.21 \pm 0.07	0.29	<0.01
	Previous July T_{\min}	4.96	0.19 \pm 0.07	0.29	<0.01
	April T_{\max}	4.37	0.18 \pm 0.07	0.29	<0.01
	May T_{\min}	4.15	0.18 \pm 0.07	0.29	<0.01
	Spring T_{\max}	3.57	0.17 \pm 0.07	0.29	<0.01
	August T_{\max}	3.48	0.17 \pm 0.07	0.29	<0.01
	Spring T	3.41	0.17 \pm 0.07	0.29	<0.01
	August T	2.90	0.16 \pm 0.07	0.29	<0.01
	Summer P	2.75	-0.16 \pm 0.07	0.29	<0.01
	April T	2.28	0.15 \pm 0.07	0.29	<0.01
	Previous September T	2.13	0.15 \pm 0.07	0.29	<0.01
	May T	2.01	0.15 \pm 0.07	0.29	<0.01
<i>Salix pulchra</i>	June-July T_{\max}	18.66	0.28 \pm 0.06	0.16	0.80
	July T	14.74	0.26 \pm 0.06	0.16	0.11
	July T_{\max}	12.99	0.25 \pm 0.06	0.17	0.05
	June-July T	11.78	0.24 \pm 0.06	0.16	0.03
	Summer T_{\max}	9.63	0.23 \pm 0.06	0.16	<0.01
	June T_{\max}	6.97	0.19 \pm 0.06	0.15	<0.01
	Summer T	6.33	0.20 \pm 0.07	0.16	<0.01
	July T_{\min}	5.26	0.19 \pm 0.07	0.17	<0.01
	July-August T	4.06	0.17 \pm 0.07	0.16	<0.01
	July-August T_{\max}	4.06	0.17 \pm 0.07	0.16	<0.01
	June T	3.26	0.15 \pm 0.06	0.15	<0.01
	Summer P	2.99	-0.16 \pm 0.07	0.15	<0.01
	June-July P	2.65	-0.14 \pm 0.06	0.15	<0.01
	June-July T_{\min}	2.02	0.14 \pm 0.07	0.16	<0.01

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 249 A similar picture arises from the correlation and response function analyses, with positive
 250 correlations between mean monthly temperatures of April-August of the growing season, as
 251 well as previous August and September and *C. tetragona* growth (Fig. 3a). Mean June, July,

August temperatures of the current year, and previous August remain significant predictors of *C. tetragona* shoot length growth, taken collinearity between the monthly climate parameters into account (Fig. 3b). Mean June and July temperatures were again identified as the main predictors of *S. pulchra* radial growth (Fig. 3c and 3d).

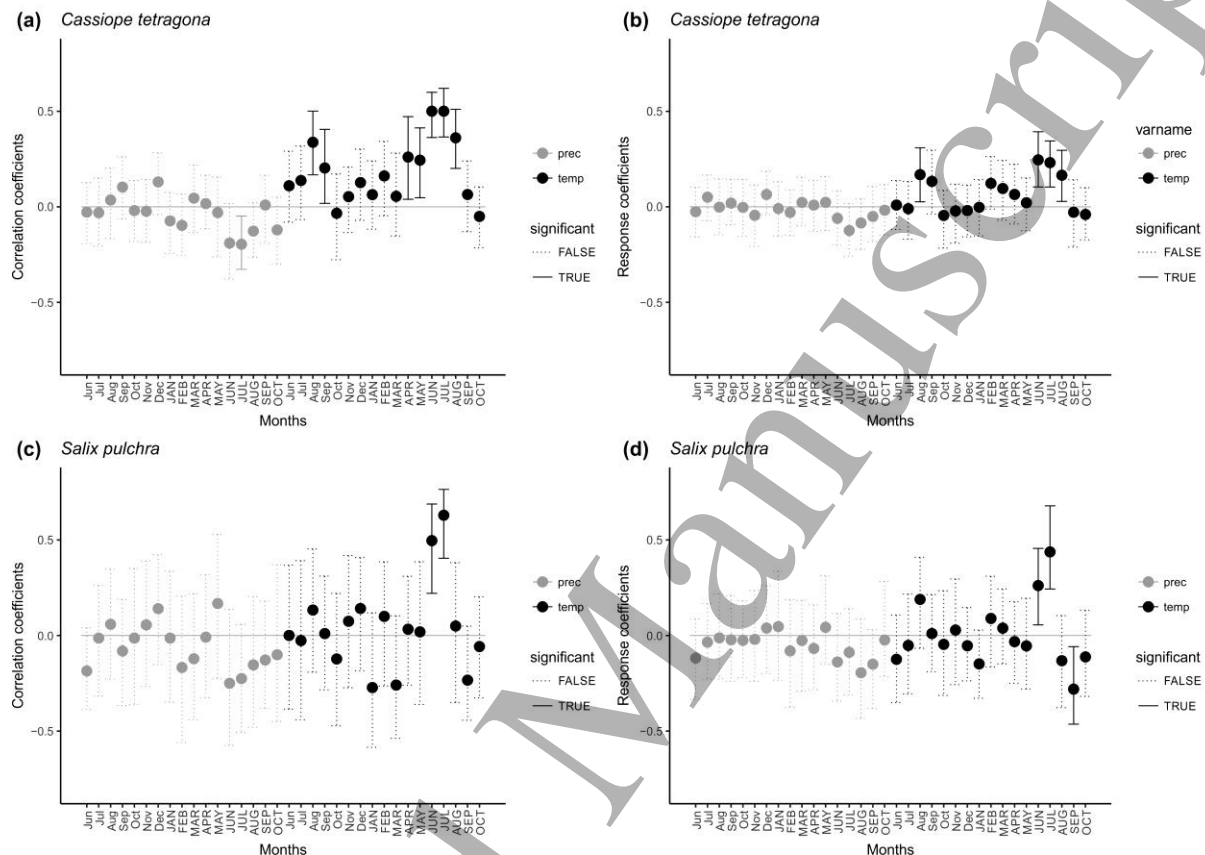


Figure 3 Pearson's correlation coefficients (a,c) and response function coefficients (b,d) with bootstrapped confidence intervals calculated between the standardized *Cassiope tetragona* (1907-2009) and *Salix pulchra* (1971-2006) site chronologies and monthly precipitation sums (grey) and mean monthly temperatures (black) as modelled for the Pika valley in the Kluane Region, Yukon Territory, Canada. Abbreviations of months from the year of growth are in lowercase letters and those of the current year are given in capitals.

The influence of mean June and July temperatures has been stable throughout the growth record of *C. tetragona* (Fig. 4). There has been a shift, however, in the influence of August temperatures from the current year, which have influenced growth until the late 1950s.

Thereafter, August temperatures started to influence *C. tetragona* growth in the next year (Fig. 4a). The negative moving correlations found between *C. tetragona* growth and monthly precipitation sums, mainly of summer months (Fig. 4b), are likely a result of collinearity between the monthly climate variables (cf. Fig. 4d). The influence of June and July temperatures may have been shifting back and forth between these two months (Fig. 4c).

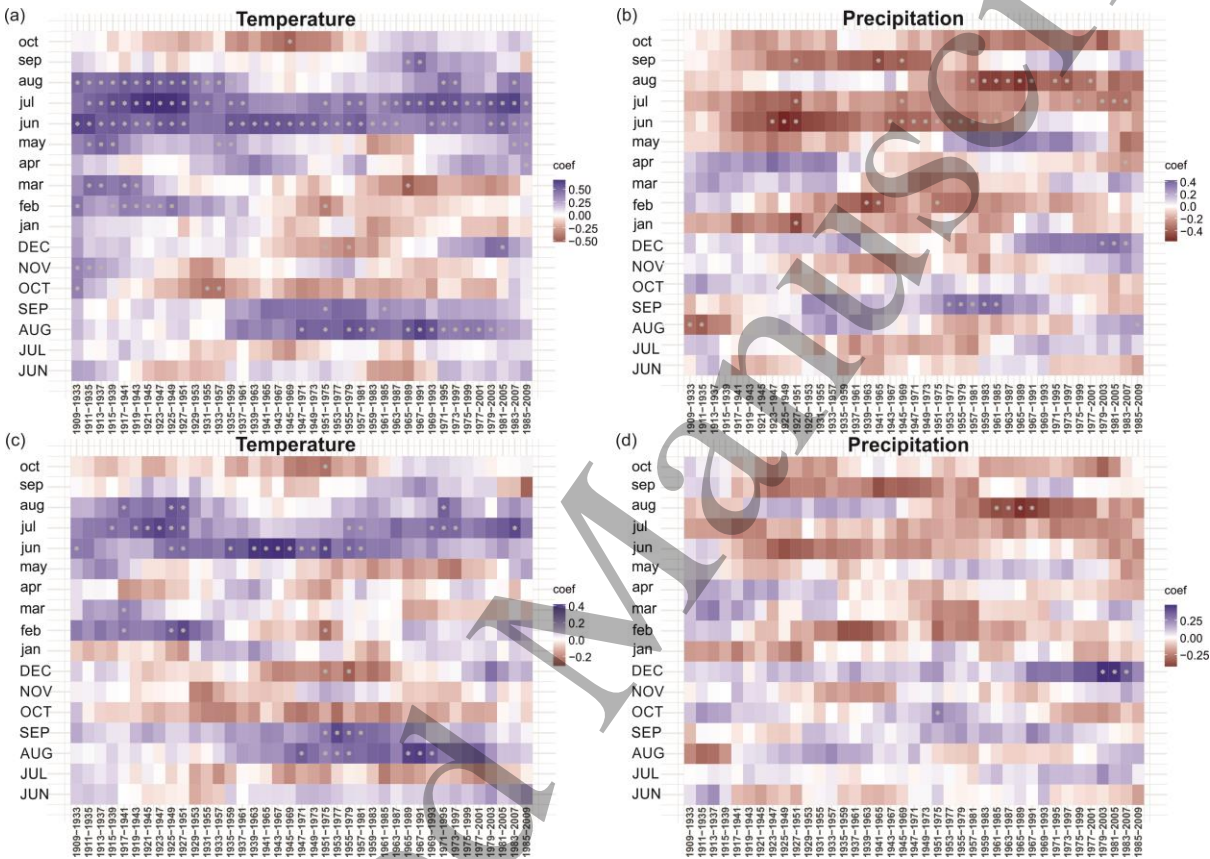


Figure 4 Moving correlation coefficients (a,b) and response function coefficients (c,d), calculated over 25-year windows with 2 year offsets over the period 1907-2009, between the standardized *Cassiope tetragona* shoot length chronology and mean monthly temperatures as well as monthly precipitation sums, as modelled for the Pika valley, Kluane Region, Yukon Territory, Canada. Asterisks (*) indicate significant coefficients. Abbreviations of months from the year of growth are in lowercase letters and those of the current year are given in capitals.

3.2 Spatial analyses

Both standardized chronologies as well as the Pika valley mean June-July maximum temperatures are strongly correlated to mean June-July maximum temperatures over a large area in northwest North America (Fig. 5a-c). Furthermore, the *C. tetragona* chronology reflects mean June-July NDVI-values from a large area, mainly from the tundra and boreal treeline vegetation at higher elevations and/or latitudes such as at the Stikine plateau, Mackenzie mountains, Coast mountains, Babine range, Muskwa ranges, and in the area north of the Brooks ranges (Figure 5d). The standardized *S. pulchra* chronology shares the spatial correlations with June-July NDVI over the alpine tundra southeast to our research site, as found for *C. tetragona*, but lacks those to the north and northwest (Fig 5e). Neither chronology is related to the June-July NDVI-values and temperatures of the boreal forests of the Alaskan interior. The spatial relationship between the lapse rate corrected mean June-July maximum temperatures as valid for the Pika valley and NDVI (Figure 5f) is similar as that for the *C. tetragona* chronology, but it lacks the relationships with June-July NDVI north of the Brooks Range.

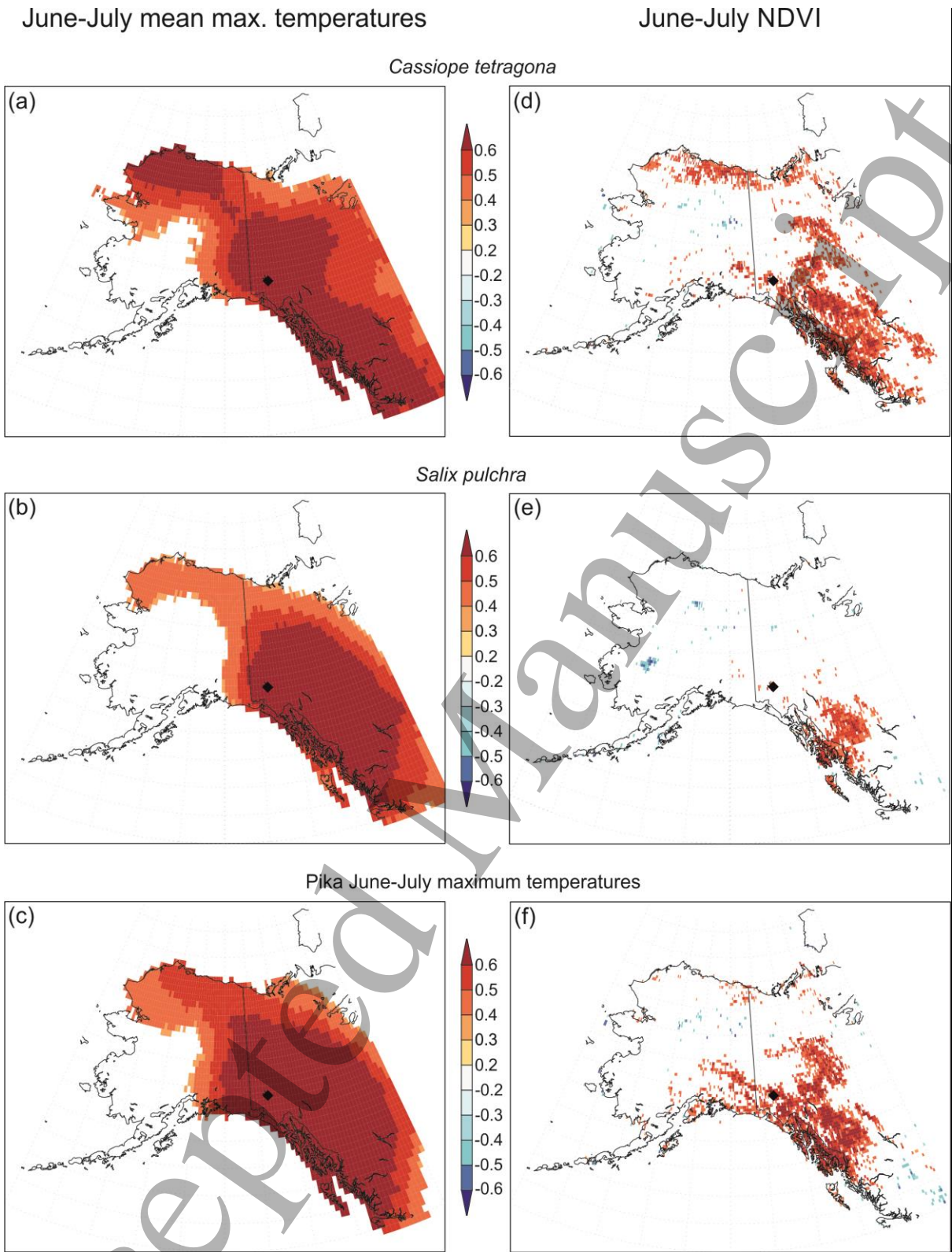


Figure 5 Pearson correlation coefficients calculated over the period 1981-2006 between the standardized *Cassiope tetragona* chronology, the standardized *Salix pulchra* chronology, Pika valley mean maximum June-July temperatures, and gridded mean June-July maximum temperatures from CRU TS4.00 (a-c), and mean June-July NDVI from GIMMS (d-f). Only

significant correlations are shown on the maps ($p < 0.05$). Black diamonds indicate the location of our research site at Pika valley in the Kluane Region, Yukon Territory, Canada.

4. Discussion

We have shown that *Cassiope tetragona* and *Salix pulchra* growth at the Pika valley in the Kluane Region, Yukon Territory, Canada is driven by early (June-July) growing season temperatures. Despite contrasting plant traits of dwarf evergreen versus tall deciduous shrubs and different habitats related to snow distribution, consistent climate sensitivity to early growing season temperatures was observed. Early summer temperatures, which coincide with the time of year with maximum insolation, are warming across northwest North America and shrub growth chronologies for both species were correlated with the regional climate and showed spatial correspondence with interannual variation in NDVI in surrounding alpine and Arctic regions. Our results suggest a common driver of vegetation change for contrasting shrub species growing in different habitats in the same alpine environments.

In contrast to *S. pulchra*, we found some influence of late summer and early autumn temperatures of the previous year on growth of *C. tetragona*, besides the influence of early summer temperature, as was earlier observed for this species on Svalbard (Weijers *et al* 2010). This is likely a result of the evergreen nature of *C. tetragona* leaves and the formation of its primordial leaves at the end of the growing season. Photosynthesis in *C. tetragona* leaves starts before snowmelt is completed (Starr and Oberbauer 2003) and shoot elongation may thus benefit from warm early summer temperatures. Annual growth of *C. tetragona* has previously been shown to be driven by summer temperatures at several High Arctic sites, through experimental warming in Ellesmere Island, Canada (Hudson *et al* 2011), Greenland (Campioli *et al* 2013) and Svalbard (Havström *et al* 1993, Rozema *et al* 2009, Weijers *et al*

2012), and dendrochronological analyses in Ellesmere Island, Canada (Havström *et al* 1995, Johnstone and Henry 1997, Rayback and Henry 2006), North Greenland (Weijers *et al* 2017), and Svalbard (Callaghan *et al* 1989, Aanes *et al* 2002, Weijers *et al* 2010, 2012, 2013b). However, at warmer Subarctic alpine tundra sites, as our site, environmental growth controls of *C. tetragona* may be less uniform. Growth in Subarctic Abisko, North Sweden, for example, showed a greater response to nitrogen addition than to experimental warming, and may be more nitrogen- than temperature-limited (Havström *et al* 1993). Still, after longer timespans (22 years) neither fertilization nor warming was found to affect *C. tetragona* growth at the same experiment (Campioli *et al* 2012).

The *C. tetragona* shrubs in our study were from downslope depressions with late snowmelt. Winter snow depth at arctic-alpine localities is, unlike at flat lowland sites, relatively independent of the winter precipitation sum, as excess snow is removed by wind and redistributed according to topography (Erickson *et al* 2005). Snowmelt date and growing season length at these *C. tetragona* localities are thus likely largely determined by temperature instead of precipitation, which may explain the strong relationship found between early summer temperatures and growth. In addition, mean growth rate at our site ($5.21 \text{ mm} \cdot \text{year}^{-1}$) is close to the $5.05 \text{ mm} \cdot \text{year}^{-1}$ reported for High Arctic Svalbard (Weijers *et al* 2012), despite the warmer conditions at our site (mean July temperatures of 9.28°C versus 6.43°C). This suggests relatively harsh conditions at the downslope snowbeds on the east-northeast-facing slope at our site, which may explain the dominance there of *C. tetragona*, which is generally a more High Arctic species. Relatively harsh conditions may persist at these places due to a shortened growing season in snowbeds, cooling of soils by (upslope) meltwater, and low amounts of direct sunlight due to the east-northeast slope aspect. During mornings, sunlight is blocked by the opposing mountain.

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Early growing season temperatures have earlier been identified as the most important factor influencing *S. pulchra* growth in the northeastern Siberian tundra (Blok *et al* 2011) and may stimulate its growth as leaf flush in *S. pulchra* takes place within days after snowmelt (Borner *et al* 2008). Moreover, *Salix spp.* growth was found to be driven predominantly by summer temperatures, and their recruitment by winter temperatures, throughout the Kluane Region (Myers-Smith and Hik 2017). In contrast, secondary growth of *S. pulchra* showed little response to fertilization and warming treatments when in competition with *Betula nana* (Bret-Harte *et al* 2002) and its abundance declined under both treatments (Bret-Harte *et al* 2001) in the moist tussock tundra at Toolik Lake in the northern foothills of the Brooks Range, Alaska. However, at a nearby site, June temperatures were found to be important for radial growth of *S. pulchra* (Ackerman *et al* 2017). Despite some variation among studies, June and July temperatures appear to be consistent drivers of variation in *S. pulchra* shrub growth.

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We found some indication of a negative, but no positive, influence of summer precipitation on growth of both species. This corresponds with the lack of a *C. tetragona* growth response to experimentally doubled summer precipitation in Svalbard (Weijers *et al* 2013a) and the probably high growing season soil moisture content at the *C. tetragona* localities resulting from snowmelt. *S. pulchra* growth may be limited by precipitation at some sites, as it was found to increase its shoot length growth in response to experimentally increased precipitation (Keuper *et al* 2012). However, the low-Arctic northeast Siberian tundra site at which that experiment was conducted receives less than half of the precipitation annually falling in our study area, which may explain the lack of a positive influence of precipitation at our site. Still, a positive influence of summer precipitation was reported for *Salix spp.* at some other sites in the Kluane Region (Myers-Smith and Hik 2017).

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379 Negative links between summer precipitation and *C. tetragona* growth were earlier reported

380 by Callaghan *et al* (1989) for sites in Svalbard and Swedish Lapland. *C. tetragona* may suffer

381 from soil subsidence due to thaw of deeper ice-rich permafrost layers under wetter

382 conditions, as observed in a snow accumulation experiment in Svalbard (Blok *et al* 2015).

383 However, the observed negative correlations between precipitation sums during summer

384 months and growth at our site likely result from the negative relationship between summer

385 precipitation and temperature at our site. This hypothesis is further supported by the low

386 Akaike weights for summer precipitation models for both species and the near absence of

387 significant response function coefficients between growth and monthly summer precipitation.

388

389 Annual *C. tetragona* and *S. pulchra* growth at our site correspond with mean maximum June-

390 July temperatures for a large part of northwest North America. *C. tetragona* shoot length

391 growth furthermore tracked June-July NDVI-values of the vegetation at higher elevations and

392 latitudes in this region and radial growth of *S. pulchra* corresponded with mean maximum

393 NDVI values of a large area southeast of the study site. This suggests that annual growth

394 variability of *C. tetragona* and *S. pulchra* respond to climate drivers with wide spatial extents

395 and that productivity in these alpine regions may correspond across the whole region. Our

396 findings correspond with NDVI observations of a greening Alaskan and Yukon tundra since

397 1982 (Verbyla 2008, Beck and Goetz 2011, Guay *et al* 2014, Ju and Masek 2016). Similar

398 inter-correlations between annual shrub growth, summer temperatures, and NDVI have

399 earlier been found for the deciduous shrubs *S. lanata* and *Alnus fruticosa* in northern Siberia

400 (Forbes *et al* 2010, Macias-Fauria *et al* 2012) and for *S. pulchra* in northeastern Siberia (Blok

401 *et al* 2011).

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The climate signal in *S. pulchra* is somewhat less strong than that in *C. tetragona* shrubs and its growth corresponded with NDVI variability over a smaller area than *C. tetragona* growth. This might be because the evergreen dwarf shrub *C. tetragona* is not grazed upon, in contrast to many deciduous arctic-alpine shrub species (Mallik *et al* 2011). At our site, the deciduous *S. pulchra* shrubs may have been prone to ptarmigan browsing new buds in spring, non-cyclic insect herbivores, stem herbivory by small mammals including marmots and rare browsing by moose or other large herbivores (Myers-Smith and Hik 2017). Expansion of deciduous shrubs has earlier been shown to be suppressed by reindeer grazing in the Scandinavian alpine tundra, in contrast to that of evergreen shrubs (Vowles *et al* 2017).

Although arctic tundra greening has mainly been attributed to an expansion of erect and tall deciduous shrub cover (Elmendorf *et al* 2012a, Elmendorf *et al* 2012b, Fraser *et al* 2014), we found that annual growth of the hemi-prostrate evergreen dwarf shrub *C. tetragona* largely corresponds with NDVI as a proxy for productivity over large parts of the northwest North American tundra. *C. tetragona* might be able to expand its cover through a densification of existing shrub patches in the warmer parts of the tundra biome in certain environmental niches with long lasting snow cover, where relatively harsh conditions may persist during the growing season. *C. tetragona* is capable of forming dense mats, which might inhibit the recruitment of other taller shrubs in such locations.

5. Conclusions

We found a strong positive growth response of the co-occurring evergreen dwarf shrub *Cassiope tetragona* and the deciduous tall shrub *Salix pulchra* to early summer warming in the Pika valley of the Kluane Region, Yukon Territory, Canada. Despite differences in plant species traits and habitats, the two contrasting species demonstrated surprisingly consistent

growth responses to climate drivers. Moreover, our findings show that the annual growth variability of these species are likely representative of the annual variability in tundra vegetation productivity of large parts of northwest North America. Early summer warming has likely enhanced growth rates of entire shrub communities in this region, resulting in the densification of shrub stands and a greening of the arctic-alpine tundra of Alaska and the Yukon, as reported in the literature.

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